PATENT Case No. GF00

STATES PATENT AND TRADEMARK OFFICE

Applicants:

S. WILDT et al.

Serial No.

10/500,240

Filed:

March 23, 2005

For: METHODS TO ENGINEER MAMMALIAN-TYPE

CARBOHYDRATE STRUCTURES

Examiner: J. Hama

1632

Art Unit:

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR 1.97**

Sir:

- In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

08/02/2007 SSITHIB1 00000021 132755 10500240

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

1ERCK & CO.. INC.

**RELATED APPLICATION** 

**MERCK CASE** 

**FILING DATE** 

## INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

**U. S. SERIAL NUMBER** 

	·							
If th	is is inconvenient, additional copies will be submitted upon reques	t.						
(	6. In accordance with 37 C.F.R. 1.97, (check one)	•	,					
	the attached information is filed within three months of the filing da	te of the captioned case.						
	the attached information is filed more than three months after the f Office Action on the merits.	iling date but prior to the m	ailing of a first					
	the attached information is filed before the mailing of a first Office a examination under §1.114.	action after the filing of a re	equest for continued					
<b>✓</b>	the attached information is being filed more than three months after the filing date and after the mailing of a first Office Action on the merits, but before the mailing date of a Final Action, Notice of Allowance, or an action that otherwise closes prosecution in the application. The enclosed authorization is therefore given to charge Deposit Account No. 13-2755 for the fee required under 37 C.F.R. 1.17(p).							
	each item of information contained in this Information Disclosure S from a foreign patent office in a counterpart foreign application not Statement.							
	each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.							
	no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated under 37 C.F.R. 1.56(c) more than three months prior to the filing of this Statement.							
		Respectfully submitted	7 .					

MERCK & CO., INC. Patent Dept., RY60-30

P.O. Box 2000

Reg. No. 43,039

Rahway, N.J. 07065-0907

For Applicant(s)

(732)594-6914

Date: 7/30/

Substitute for form 1449A/PTO		COMPLETE IF KNOWN			
INFORMATION DISCLOSURE	Application Number	10/500,240			
STATEMENT BY APPEICANT	Filing Date	March 23, 2005			
STATEMENT BY APPER ANT	First Named Inventor	S. Wildt			
(use as many sheets as needs sary)	Group Art Unit	1632			
(use as many sheets as necessary)	Examiner Name	J. Hama			
Sheet 1 3	Attorney Docket Number	GF0022P			

			U.S. PA	ATENT DOCUMENTS	
Examiner Initials*	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		2006/0160179		Bobrowicz et al.	07/20/2006
		2006/0040353		Davidson et al.	02/23/2006
		2006/0211085		Bobrowicz	09/21/2006
		2006/0029604		Gerngross et al.	02/09/2006
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		2004/0018590		Gerngross et al	01/29/2004
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		2006/0034830		Gerngross et al.	02/16/2006

			FOREI	GN PATENT	DOCUMENTS		
Foreign Patent Document Date of Publication of							
Examiner Initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	
			EP 1522590		Glycofi, Inc.	04/13/2005	
			EP 1297172		Glycofi, Inc.	11/09/2005	
	WO 2004/074498			Hamilton et al.	09/02/2004		
			WO 03/056914		Glycofi, Inc.	07/17/2003	
			WO 2004/074458		Bobrowicz et al.	09/02/2004	
			WO 2004/074461		Bobrowicz et al.	09/02/2004	
			WO 2004/074497		Hamilton et al.	09/02/2004	
			WO 2004/074499		Gerngross et al.	09/02/2004	
			WO 2007/028144		Glycofi, Inc.	03/08/2007	
			WO 2000/061739		Kyowa Hakko Kogyo Co., Ltd.	10/19/2000	

Examiner Date Considered

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

·s	ubstituté for form 1449A/PTO			COMPLETE IF KNOWN			
· ]	<b>NFORMATION</b>	DIS	CLOSURE	Application Number	10/500,240		
	ran a anno mandro mono.	A TO	DI ICANT	Filing Date	March 23, 2005		
2	STATEMENT BY	AP	PLICANI	First Named Inventor	S. Wildt		
i			,	Group Art Unit	1632		
!	(use as many sheets	as n	ecessary)	Examiner Name			
Sheet	2	of	3	Attorney Docket Number	GF0022P		

		U	.S. PA	ATENT DOCUMENTS	
Examiner Initials*	Cite No.	U.S. Patent Document  Number  (if	Kind Code known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		2006/0024292		Gerngross et al.	02/02/2006
		7214775		Hanai et al.	05/08/2007
		7064191		Shinkawa et al.	06/20/2006
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			FORE	GN PATENT D	OCUMENTS	
	G:.		Foreign Patent Docume	nt		Date of Publication of
Examiner Initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY
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			·*····································			
Examine Signatur	er				Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

, s	ubstitute for form 1449B/PTO			COMPLETE IF KNOWN			
٠.	NFORMATION	DIS	CLOSURE	Application Number	10/500,240		
		· A TO		Filing Date	March 23, 2005		
3	STATEMENT BY	AP	PLICANI	First Named Inventor	S. Wildt		
				Group Art Unit	1632		
	(use as many sheets	as n	ecessary)	Examiner Name	J. Hama		
Sheet	3	of	3	Attorney Docket Number	GF0022P		

	,	NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.	Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.
	AA	Li et al., Nature Biotech., Vol. 24 (2006), pp. 210-215, "Optimization of humanized IgGs in glycoengineered Pichia pastoris"
	AB	Lifely et al., Glycobiology, Vol. 5 (1995), pp. 813-822, "Glycosylation and biological activity of CAMPATH-1H expressed in different cell lines"
	AC	Yamane-Ohnuki et al., Biotech. Bioengin., Vol. 87 (2004), pp. 614-622, "Establishment of FUT8 knockout Chinese hamster ovary cells: An ideal host cell line for producing"
	AD	Weikert et al., Nature Biol., Vol. 17 (1999), pp. 1116-1121, "Engineering Chinese hamster ovary cells to maximize sialic acid content of recombinant glycoproteins"
	AE	EP 1297172 B1 - Glycode Opposition Brief (French)
	AF	EP 1297172 B1 - Glycode Opposition Brief (English translation)
	AG	EP 1297172 B1 - Novozyme Opposition Brief
	АН	EP 1297172 B1 - Patentee's Reply to the Notice of Opinion
	ΑI	EP 1297172 B1 - EPO Non-Binding Opinion
	AJ	Maras et al., Glycoconjugate J., Vol. 16 (1999), pp. 99-107, "Filamentous fungi as production organisms for glycoproteins of bio-medical interest"

Examiner Signature	Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

	_	Complete if Known		
MISC. FEE TRANSMITTA	Ŧ	Application Number	10/500,240	
0,, -	40	Filing Date	March 23, 2005	
Patent fees are subject to annual revision.	<b>%</b> \	First Named Inventor	Wildt et al.	
AUG 0 2	2007 <sub>M</sub> )	Examiner Name	J. Hama	
\ <u>3</u>	<u> </u>	Group Art Unit	1632	
TOTAL AMOUNT OF PAYMENT	\$180	Attorney Docket Number	GF0022P	

		METHOD OF PAYMENT	
	Deposit Accou		
Γ	Deposit Accou	unt Number 13-2755 Deposit Account Name Merck & Co., Inc.	
Th	e Director is	authorized to:	
		rge fee(s) indicated below	
		Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	
		FEE CALCULATION	
FEE: Larg	S e Entity		
Fee Code		Fee Description	Fee Paid
1051	` ,	Surcharge - late filing fee or oath	
1051	130	Non-English Specification	
1812	2,520	For filing a request for ex parte reexamination of the second of the sec	
1402	500	For filing a request for ex parte reexamination of the states of the sta	
1452	500	Petition to revive - unavoidable	
1453	1,500	Petition to revive - unintentional	
1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	Submission of Information Disclosure State of the State o	180
1809	790	Filing a submission after final rejection (37	
1810	790	For each additional invention to be examined (37 CFR 1.129(b))	
1840	130	Statutory Terminal Disclaimer under 37 CFR 1.321	
		Other fee (specify)	
		Other fee (specify)	
		TOTAL	\$180

SUBMITTED BY			Complete (if applicable)		
Typed or Printed Name	JOHN DAVID REILLY		Reg. Number	43,039	
Signature	Da	1c 7-30-2007	Deposit Account User ID		